



ATTORNEY DOCKET NO.: 16627/09000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of
JOSEPH E. JOHNSON

Serial No.: 09/873,768

Filed: June 4, 2001

Title: APPARATUS AND METHOD FOR
HANDLING LOGICAL AND NUMERICAL
UNCERTAINTY UTILIZING NOVEL
UNDERLYING PRECEPTS

Art Unit: 2124

Examiner:
Chavis, John Q.

RECEIVED

OCT 28 2004

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.56, 1.97, and 1.98, Applicant is submitting the art shown on the attached list for consideration by the Examiner.

I. Timing of the Submission (check one)

This Information Disclosure Statement is being submitted:



Within three months of filing the application (Rule 97(b)(1)).



Within three months of the international application entering the national stage (Rule 97(b)(2)).



Before mailing of a first Office Action (Rule 97(b)(3)).



Before mailing of a final action or notice of allowance, and accompanied by a fee (Rule 97(c)(2)).

- ☐ Before mailing of a final action or notice of allowance, and accompanied by a Rule 97(e) statement (Rule 97(c)(1)).
- ☐ After issuance of a Notice of Allowance but before payment of the issue fee, and accompanied by: (1) a Rule 97(e) statement, (2) a petition requesting consideration of the information disclosure statement, and (3) a fee (Rule 97(d)).

II. Rule 97(e) Statement (check one if required by item checked above)

- ☐ Each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ No item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application nor was any such item known by any individual associated with the filing or prosecution of the patent application more than three months prior to the filing of the Information Disclosure Statement.

III. Copies of the References (check one or both as applicable)

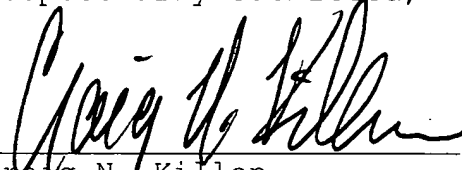
- ☒ Copies of 6 (insert "all" or number of enclosed references) listed references are enclosed.
- ☐ Copies of _____ (insert "all" or number of references not enclosed) listed references are not enclosed because they are not required pursuant to Rule 98(d). The listed references were previously cited by or submitted to the Office in application serial number _____, filed _____, which is relied upon for an earlier filing date.

Pursuant to Rule 98(a)(3), the following is a concise explanation of the relevance of each patent, publication, or other information listed that is not in the English language:

(Signature of person mailing paper or fee)

Please charge any fees required for consideration of the
art cited herein to our deposit account no. 50-1196.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Craig N. Killen", written over a horizontal line.

Craig N. Killen

Reg. No. 35,218

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